



Listed Person or Entity Property Report

Use this form if you are a reporting person or entity and you have property in your possession or control that you know is owned or controlled by or on behalf of a listed person or entity.

A listed person or entity includes:

- (a) a *terrorist group* as defined in subsection 83.01(1) of the *Criminal Code*;
- (b) a person or entity that is the subject of an order or regulation made under the *United Nations Act*;
- (c) a person or entity that is the subject of an order or regulation made under the *Special Economic Measures Act*;
- (d) a foreign state, as defined in section 2 of the *Special Economic Measures Act*, that is the subject of an order or regulation made under that Act or the *United Nations Act*; or
- (e) a person who is the subject of an order or regulation made under section 4 of the *Justice for Victims of Corrupt Foreign Officials Act (Sergei Magnitsky Law)*. (*personne ou entité inscrite*)

A listed person or entity can be an individual, a corporation, a group, a trust, a partnership, or a fund. It can also be an unincorporated association or organization.

For more information about who is considered a reporting person or entity and for instructions on how to complete this form, refer to FINTRAC's reporting guidance for your sector at <http://www.fintrac-canafe.gc.ca> or call FINTRAC's toll-free enquiries line at 1-866-346-8722. **This report CANNOT presently be submitted electronically.**

Send completed form by mail: FINTRAC, Section A, 234 Laurier Avenue West, 24th Floor, Ottawa, Ontario K1P 1H7
or send completed form by fax: 1-866-226-2346

Is this Report a correction to a Report previously submitted?

NO

YES

- Enter the original report's date and time

Date YEAR MONTH DAY Time HOUR MINUTES SECONDS

- COMPLETE PART A – whether the information has changed or not.
- Provide the new information ONLY for the affected fields in Part B through Part H.
- If removing information from a field, strike a line through the field.

All fields of the report marked with an asterisk (*) must be completed. The ones that are also marked "where applicable" must be completed if they are applicable to you or the property or transaction being reported. For all other fields, you have to make reasonable efforts to get the information.

REPORTING DATE YEAR MONTH DAY
TIME HOUR MINUTES SECONDS

Part A – Information about the person or entity filing this report

*1. Reporting person or entity number:

*2. Reporting person or entity's full name:

*3. Street address

*4. City:

*5. Province:

*6. Postal Code:

Whom can FINTRAC contact about this report?

*7. Contact surname:

*8. Contact given name:

9. Contact initial/other:

*10. Contact telephone number:

10A. Contact – Telephone extension number:

11. Contact – email address:

Which one of the following types of reporting entities best describes you?

*12. Activity sector:

Accountant
Bank
British Columbia notary
Caisse populaire
Casino

Co-op credit society
Credit union
Credit union central
Crown agent
Dealer in precious metals and stones

Financial services cooperative
Foreign money services business
Life insurance broker or agent
Life insurance company
Money services business

Provincial savings office
Real estate
Securities dealer
Trust and/or loan company

Part B – Reason for filing this report

1. Please describe clearly and completely what led you to file this report about listed person or entity property. Provide as many details as possible to explain how you came to be in possession or control of the property. If there is not enough room on the form, attach a separate sheet to provide all the relevant information.

2. Provide as many details as possible about how you know this property is owned, held or controlled by or on behalf of a listed person or entity. Also include details of what other action you have taken regarding the property, in addition to sending this report to FINTRAC. If there is not enough room on the form, attach a separate sheet to provide all the relevant information.

Note: You must disclose this property's existence to the Royal Canadian Mounted Police and the Canadian Security Intelligence Service, along with any information about a transaction or proposed transaction for that property. For more information refer to FINTRAC's reporting guidance for your sector.

3. Provide as many details as possible about how you identified the listed person or entity. If there is not enough room on the form, attach a separate sheet to provide all the relevant information.

NOTE: Please copy this page for each additional property (if applicable).

Property ☐ of ☐

Part C – Information about the property

*1. Type of property:

Bank account
Cash

Insurance policy
Money order

Real estate
Securities

Traveller's cheques
Other

If 'Other', please specify:

2. Property identifier: Describe the means used to identify the property.

3. Property identifier number: Provide every number of, or associated with, the property.

*4. Property value (actual or approximate):

4A. Currency code:

5. Description of property:

Information about person or entity that owns, holds or controls property on behalf of listed person or entity.*

*6. Full name of entity:

*6A. Surname of person:

*6B. Given name of person:

6C. Other/Initial:

Information about person or entity that has a right in or is authorized to deal with the property.

7. Full name of entity:

7A. Surname of person:

7B. Given name of person:

7C. Other/Initial:

Other information about the property

8. Description of transactions involving the property within the previous six months:

9. Identifying number of any report made under Section 7 of the Act respecting the property:

10. Description of any measures taken by reporting person or entity with respect to the property:

NOTE: Please copy this and the subsequent page for each additional listed person or entity (if applicable).

Property ☐ Listed Person or Entity ☐ of ☐

Part C.1 – Information about the listed person or entity that owns, holds or controls the property

*1. Full name of entity:

*1A. Surname of person:

*1B. Given name of person:

1C. Other/Initial:

*2. Street address:

*3. City:

*4. Province or state:

*5. Country:

*6. Postal or Zip code:

7. Phone number:

7A. Phone extension number:

8. URL of listed person or entity:

9. Email address of listed person or entity:

10. Nature of principal business of listed person or entity or their occupation:

11. Identification number assigned to listed person or entity by reporting person or entity:

12. Type of document or other information used to identify listed person or entity, or to verify their identity under the PCMLTF Regulations:

Birth certificate	Government issued identification	Provincial or territorial identity card	Utility statement
Certificate of Indian Status	Insurance documents	Record of employment	Other
Citizenship card	Passport	Record of landing	
Credit file	Permanent resident card	Social insurance number (SIN) card	
Driver's license	Provincial health card	Travel visa	

12A. If 'Other', please specify:

13. Number associated with document or information:

14. Jurisdiction of issue of document or information – Country:

15. Jurisdiction of issue of document or information – Province or state:

In the case of a person:

16A. Alias – Surname:

16B. Alias – Given name:

16C. Alias – Other/Initial:

17. Date of birth (YYYY-MM-DD):

YEAR

MONTH

DAY

18. Country of residence:

19. Country of citizenship:

20. Employer’s name:

In the case of an entity:

21. Registration or incorporation number:

22. Jurisdiction of issue – Country:

23. Jurisdiction of issue – Province or state:

Information about a person or entity that owns, holds or controls property on behalf of the listed person or entity

24. Full name of entity:

24A. Surname of person:

24B. Given name person:

24C. Other/Initial:

25. Street address:

26. City:

27. Province or state:

28. Country:

29. Postal or Zip code:

30. Phone number:

30A. Phone extension number:

31. URL:

32. Email address:

NOTE: Please copy this page for each additional account (if applicable).

Property ☐ Account ☐ of ☐

Part D – Account information (if property involves an account)

*1. Branch or transit number:

*2. Account number:

*3. Type of account:

Business

Casino

Personal

Trust

Other

3A. If 'Other', please specify:

*4. Currency:

Full name of each account holder

*5A. Account holder:

5B. Account holder:

5C. Account holder:

6. Date opened (YYYY-MM-DD):

20
YEAR MONTH DAY

7. Date closed (YYYY-MM-DD):

20
YEAR MONTH DAY

8. *Status of account:

Active

Dormant

Inactive

NOTE: Please copy this page for each additional, related transaction or proposed transaction (if required).

Property ☐ Transaction ☐ of ☐

Part E1 – Information about any transaction or proposed transaction (where applicable)

If there was a transaction related to the property, indicate how it was initiated, i.e. where the money came from. If there was a proposed transaction related to the property, indicate how it was proposed to be initiated. If there was no transaction related to the property, do not complete this Part, or Parts E2, F, G or H.

It is important to remember that you must submit a Suspicious Transaction Report (STR) to FINTRAC if a transaction has taken place or was attempted and you have reasonable grounds to suspect that the transaction is related to the commission or attempted commission of a money laundering, terrorist activity financing, or sanctions evasion offence.

*1. Date of the transaction:

<input type="text" value="20"/>		
YEAR	MONTH	DAY

*2. Time of the transaction:

			UTC offset	+		
				-		
HOUR	MINUTES	SECONDS			HOUR	MINUTES

*3. Night deposit indicator:

4. Date of posting (if different from date of transaction):

<input type="text" value="20"/>		
YEAR	MONTH	DAY

*5. Type of funds or other assets:

Bank draft	Incoming email money transfer	Precious metals	Redemption of investment product
Cash	Incoming international funds transfer	Precious stones	Virtual currency
Cheque	Jewellery	Real estate	Withdrawal from account
Incoming domestic funds transfer	Point of sale purchase (credit/debit)	Redemption of casino product	Other

5A. If 'Other', please specify:

*6. Amount of transaction:

*7. Currency code:

Additional information about the funds described in field 5 above

*8. Other institution, entity or person name and number:

*9. Other institution, entity or person account number:

*10. How was the transaction conducted?

Armoured car	In person	Phone	Virtual currency ATM
Automated banking machine	Mail deposit	Quick drop	Other
Courier	Night deposit	Self-redemption kiosk	

10A. If 'Other', please specify:

11. ID number of the individual initially identifying a transaction of property in Part C

NOTE: Please copy this page for each additional, related disposition (per transaction) (if required).

Property ☐ Transaction ☐ Disposition ☐ of ☐

Part E2 – Information about the transaction or proposed transaction disposition(s) (where applicable)

If there was a transaction related to the property, indicate how it was completed, i.e. where the money went. If there was a proposed transaction related to the property, indicate how it was proposed to be completed. If there was no transaction related to the property, do not complete this Part, or Parts E1, F, G or H.

It is important to remember that you must submit a Suspicious Transaction Report (STR) to FINTRAC if a transaction has taken place or was attempted and you have reasonable grounds to suspect that the transaction is related to the commission or attempted commission of a money laundering, terrorist activity financing, or sanctions evasion offence.

Indicate on whose behalf this transaction was conducted
On behalf of:

**The individual who conducted the transaction
(described in PART F)**

**Another individual (besides individual who conducted it)
(also complete PART H)**

**An entity (other than an individual)
(also complete PART G)**

*12. Disposition of funds how the transaction was completed:

Added to virtual currency wallet	Issued cheque	Purchase of / Payment for services	Purchase of prepaid payment product/card
Cash	Life insurance policy purchase or deposit	Purchase of bank draft	Purchase of traveler's check
Denomination exchange	Outgoing domestic funds transfer	Purchase of casino product	Payment to account
Deposit to account	Outgoing email money transfer	Purchase of diamonds	Real estate purchase or deposit
Exchange to fiat currency	Outgoing international funds transfer	Purchase of jewellery	Other
Exchange to virtual currency	Outgoing mobile money transfer	Purchase of money order	
Holding funds	Outgoing virtual currency transfer	Purchase of precious metals	
Investment product purchase or deposit	Purchase of / Payment for goods	Purchase of precious stones	

12A. If life insurance policy purchase deposit, specify policy number:

12B. If 'Other', please specify:

*13. Amount of disposition:

*14. Currency code:

Additional information about the funds described in field 12 above

*15. Other institution, entity or person name and number:

*16. Other institution, entity or person account number:

NOTE: Please copy this page for each additional transaction (if applicable).

Property ☐ Transaction ☐

Part F – Information about the individual who conducted or proposed to conduct transaction(s) (where applicable)

1. Surname:

1A. Alias – Surname:

2. Given name:

2A. Alias – Given name:

3. Other/Initial:

3A. Alias – Other/Initial:

4. Client number assigned by reporting person or entity:

5. Street address:

6. City:

7. Province or state:

8. Country:

9. Postal or zip code:

10. Country of residence:

11. Home phone number:

12. Individual's identifier:

Birth certificate
Certificate of Indian Status
Citizenship card
Credit file
Driver's license

Government issued identification
Insurance documents
Passport
Permanent resident card
Provincial health card

Provincial or territorial identity card
Record of employment
Record of landing
Social insurance number (SIN) card
Travel visa

Utility statement
Other

12A. If 'Other', please specify:

13. ID number:

13A. Citizenship:

14. Jurisdiction of issue – Country:

15. Jurisdiction of issue – Province or state:

16. Individual's date of birth (YYYY-MM-DD):

YEAR

MONTH

DAY

17. Individual's occupation:

18. Individual's business phone number:

18A. Phone extension number:

19. Individual's employer:

20. Employer's street address:

21. Employer's city:

22. Employer's province or state:

23. Employer's country:

24. Postal or zip code:

25. Employer's business telephone number:

25A. Telephone extension number:

NOTE: Please copy this page for each additional disposition (if required).

Property ☐ Transaction ☐ Disposition ☐

Part G – Information about the entity on whose behalf the transaction was conducted or proposed to be conducted (where applicable)

1. Name of corporation, trust or other entity:

2. Type of business

3. Street address:

4. City:

5. Province or state:

6. Country:

7. Postal or zip code:

8. Business phone number:

8A. Phone extension number:

9. Incorporation number:

10. Jurisdiction of incorporation – Country:

11. Jurisdiction of incorporation – Province or state:

Individual authorized with respect to the account (up to three (3))

12A. Individual:

12B. Individual:

12C. Individual:

NOTE: Please copy this page for each additional disposition (if required).

Property ☐ Transaction ☐ Disposition ☐

Part H – Information about the individual on whose behalf the transaction was conducted or proposed to be conducted (where applicable)

1. Surname:

1A. Alias – Surname:

2. Given name:

2A. Alias – Given name:

3. Other/Initial:

3A. Alias – Other/Initial:

4. Street address:

5. City:

6. Province or state:

7. Country:

8. Postal or zip code:

9. Home phone number:

10. Office phone number:

10A. Phone Extension number:

11. Individual's date of birth (YYYY-MM-DD):

YEAR MONTH DAY

12. Identifier:

Birth certificate
Certificate of Indian Status
Citizenship card
Credit file
Driver's license

Government issued identification
Insurance documents
Passport
Permanent resident card
Provincial health card

Provincial or territorial identity card
Record of employment
Record of landing
Social insurance number (SIN) card
Travel visa

Utility statement
Other

12A. If 'Other', please specify:

13. ID number:

14. Jurisdiction of issue – Country:

15. Jurisdiction of issue – Province or state:

16. Country of residence:

16A. Citizenship:

17. Individual's occupation:

18. Individual's employer:

19. Employer's street address:

20. Employer's city:

21. Employer's province or state:

22. Employer's country:

23. Postal or zip code:

24. Employer's business phone number:

24A. Phone extension number:

Relationship of the individual of Part F to the individual named above

25. Relationship:

Accountant	Customer	Joint/Secondary owner	Self
Agent	Employee	Legal counsel	Vendor / Supplier
Borrower	Employer	Power of attorney	Other
Broker	Friend	Relative	

25A. If 'Other', please specify: